PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: Q78961

Hideaki ITO

Appln. No.: 10/768,664

application of

Group Art Unit: 2871

Confirmation No.: 8395

Examiner: Not Yet Assigned

Filed: February 02, 2004

For:

COLORED COMPOSITION AND PHOTOSENSITIVE TRANSFER MATERIAL FOR PRODUCING BLACK MATRIX, BLACK MATRIX AND METHOD FOR PRODUCING THE SAME, COLOR FILTER, LIQUID CRYSTAL DISPLAY, AND BLACK MATRIX

SUBSTRATE

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08. A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/768,664

Attorney Docket No.: Q78961

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

The submission of the attached English language abstracts along with Japanese Patent

Laid-open Publication No. 62-9301 and Japanese Patent No. 3318353 constitute concise

statements of relevance of the respective references. Also, Japanese Patent Laid-open Publication

No. 62-9301 and Japanese Patent No. 3318353 are discussed on page 3 in the specification, and

the publication entitled "Semiconductor Equipment and Materials International" is discussed on

page 2 in the specification.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee

and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to

said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 23,063

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 6, 2004

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Substitute for Form 1449 A & B/PTO	Complete if Known			
Systitute for Form 1449 A & D/F IO	Application Number	10/768,664		
INFORMATION DISCLOSURE	Confirmation Number	8395		
PE STATEMENT BY APPLICANT	Filing Date	February 02, 2004		
3	First Named Inventor	Hideaki ITO		
(use as many sheets as necessary)	Art Unit	2871		
(use as many sheets as necessary)	Examiner Name	Not Yet Assigned		
SPAt 1 of 1	Attorney Docket Number	O78961		

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Document		Publication Date			
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US					
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	Translation ⁶
		Country Code ³	Number⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation
		JP	62-9301	Α	1/17/1987	Kyodo Printing Co., Ltd.	Abstract
		JР	3318353	B2	8/26/2002		Abstract

	-	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.				
	Semiconductor Equipment and Materials International, Color TFT Liquid Crystal Display, Kyoritsu Shuppan Co., Ltd., July 20, 1996, pp. 218-220					
	-					

Examiner Signature		 Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.